

Attorney Docket: 252/029

TN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|------------------------------------|------------|---|---------------------------------------|---------------------|
| In | re the A | pplication of: |) Group Art Unit: 1642 | |
| Iar | nec M | Linton |) | |
| James M. Lipton Anna P. Catania | | |) Examiner: Parkin, J. | |
| Au | ma r. C | atama |) | |
| Sei | rial No. | : 09/533,341 |)) | ACE E |
| Fil | ed: Ma | arch 23, 2000 |) | PECHCENTER 1800/290 |
| Fo | r: ANI | TIMICROBAL AND ANTI- |) | |
| 1 17 | | LAMMATORY PEPTIDES FOR |) | |
| | | IN HUMAN |) | |
| | | MUNODEFICIENCY VIRUS |) . | |
| | | | | • |
| TR | RANSM | ITTAL OF SUPPLEMENTAL INF | ORMATION DISCLOSUR | RE STATEMENT |
| | | er for Patents D.C. 20231 | | ` <u>.</u> |
| | , | | | |
| Sir: | | | | |
| I. | DOC | UMENTS ENCLOSED: | | |
| | Appli | cant submits the following documents with | h this Transmittal Letter. | |
| | (1) | Supplemental Information Disclosure S | tatement; | |
| | (2) | Form PTO-1449; and | | |
| | (3) | References BU and DS. | | |
| | | | | |
| LA-217 | 629.5 | | | \$ |
| | • | CERTIFICATE C | | ري م |
| | | (37 C.F.R | §1.8a) | (F 33/ |
| I herek | ov certify | that this paper (along with any referred to as bostal Service on the date shown below with su | peing attached or enclosed) is being | deposited with the |
| United | States P | ostal Service on the date shown below with su | fficient postage as First Class Mail | in an envelope |
| addres | sed to the | e Commissioner for Patents, Washington, D.C. | . 20231. | × |
| | | | D 1 135 | |
| | | | Rachel Marquez Name of Person Mailing | Paner H |
| | | | ivalue of Person Ivianing | 19 Ch Juli |
| Decen | ber 13, 2 | 2001 | - Jacker J | |
| _ | f Deposit | | Signature of Person Mai | ling Paper |
| - | | | .\ | / / / 5 |

| II. REQUEST FOR EXTENSION OF TIME |
|-----------------------------------|
|-----------------------------------|

| The proceedings herein are | for a patent application and the provisions | of 37 CFR § | 1.136 |
|----------------------------|---|-------------|-------|
| apply. | | | |

Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

| | EXTENSION (months) | FEE FOR SMALL ENTITY | FEE FOR OTHER THAN SMALL ENTITY |
|---|--------------------|-------------------------|---------------------------------|
| | one month | \$55.00 | \$110.00 |
| 而 | two months | \$195.00 | \$390.00 |
| | three months | \$445.00 | \$890.00 |
| 同 | four months | \$695.00 | \$1,390.00 |
| | five months | \$945.00 | \$1,890.00 |

Fee

If any extension fee is required, please consider this a petition therefor.

III. FILING FEES

Applicant claims small entity status pursuant to 37 CFR 1.27.

| BASIC FILING FEE: | | | | | | \$0.00 | | |
|--|-------|-----|--------|------|--------|--------|---------|--------|
| Total Claims | 0 | - | 20 | = | 0 | х | \$18.00 | \$0.00 |
| Independent Claims | | - | 3 | = | 0 | х | \$80.00 | \$0.00 |
| Multiple Dependent Claims | \$270 | (if | applic | able | (:) | | | \$0.00 |
| Surcharge 37 CFR § 1.16(e) | \$130 | (if | applic | able | :) | | | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | | \$0.00 | | |
| Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. | | | | | \$0.00 | | | |
| Extension of Time (from above) | | | | | | \$0.00 | | |
| Assignment \$40 (if applicable) | | | | | | \$0.00 | | |
| TOTAL FEES SUBMITTED HEREWITH | | | | | | \$0.00 | | |

IV. METHOD OF PAYMENT OF FEES:

| \boxtimes | A check in the amount of \$180.00 is enclosed to cover fee for filing of Supplemental |
|-------------|---|
| | Disclosure Statement. |

| | Ol and I want of I would Donogit A account No. | 12 2475 in the amount of \$ |
|-----|--|-----------------------------------|
| 1 1 | Charge Lyon & Lyon's Deposit Account No. | $12-24/5$ in the amount of $5_{}$ |

The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. 12-2475 for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: 12/13/01

By:

Sandra Fujiyama Reg. No. 46,713

22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP Suite 4700 633 W. Fifth Street Los Angeles, CA 90071 (213) 489-1600



Patent 252/029US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re the Application of: | Group Art Unit: 1642 | |
|--|----------------------|-------------|
| Anna P. Catania James M. Lipton | Examiner: Parkin, J. | CHOWN SON |
| Serial No.: 09/533,341 | , | (A) (O) (C) |
| Filed: March 23, 2000 | l . K | |
| For: ANTIMICROBIAL AND ANTI- INFLAMMATORY PEPTIDES FOR USE IN HUMAN IMMUNODEFICIENCY VIRUS | | · |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Supplemental Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

The fee due under 37 CFR § 1.17(p) is submitted herewith.

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PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

A check in the amount of \$_\$180.00 is enclosed for the above fee(s).

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. 12-2475.

Respectfully submitted, LYON & LYON LLP

Dated: 12/13/01

By: Sandra Fujiyama

Reg. No. 46,713

22240

LYON & LYON LLP 633 W. Fifth Street, Suite 4700 Los Angeles, CA 90071